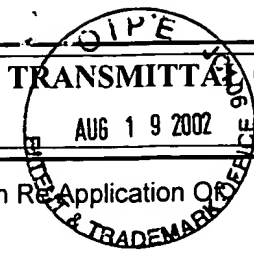


#5 8-30-02



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) AUG 19 2002	Docket No. 5283
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In Re Application Of **John Hendricks, et al.**

Serial No. 09/400,296	Filing Date September 21, 1999	Examiner N/A	Group Art Unit 2766 2166
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Title: **Electronic Book Security and Copyright Protection System**

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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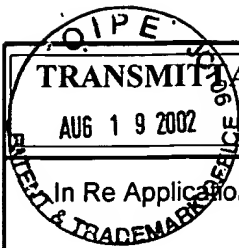
37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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AUG 26 2002

In Re Application: John Hendricks, et al.

Technology Center 2600
Group Art Unit

Serial No.
09/400,296

Filing Date
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2766

Electronic Book Security and Copyright Protection System

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

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*This certificate may only be used if paying by deposit account.

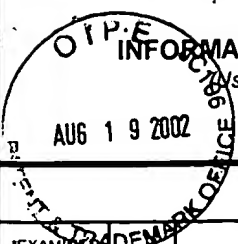

Signature

Dated: August 19, 2002

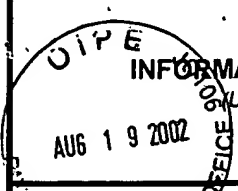
Sung Kim
Reg. No. 45,398
DORSEY & WHITNEY LLP
1660 International Drive
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				ATTY DOCKET NO. 5283		SERIAL NO. 09/400,296	
				John Hendricks, et al.			
				FILING September 21, 1999		GROUP 2766	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,917,915	6/29/1999	Hirose	380	228	
	2.	6,052,717	4/18/2000	Reynolds, et al.	709	218	
	3.	5,986,690	11/16/1999	Hendricks	725	60	
	4.	6,034,680	3/7/2000	Kessenich, et al.	345	733	RECEIVED AUG 20 2002 Technology Center 2100
	5.	5,341,426	8/23/1994	Barney, et al.	713	171	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1.	WO 97/23819	7/3/1997	WIPO			
	2.	WO 97/22110	6/19/1997	WIPO			
	3.	WO 97/22067	6/19/1997	WIPO			
	4.	WO 97/22065	6/19/1997	WIPO			
	5.	WO 97/22102	6/19/1997	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
			RECEIVED AUG 21 2002 GROUP 3000				
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. 5283	SERIAL NO. 09/400,296
	John Hendricks, et al.	
	FILING September 21, 1999	GROUP 2766

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11.	WO 97/22109	6/19/1997	WIPO				
	12.	WO 97/22112	6/19/1997	WIPO				
	13.	WO 97/22079	6/19/1997	WIPO				
	14.	WO 97/22049	6/19/1997	WIPO				
	15.	WO 97/22100	6/19/1997	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			

EXAMINER	DATE CONSIDERED
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<p style="text-align: center; font-weight: bold;">INFORMATION DISCLOSURE CITATION</p> <p style="text-align: center;">(Use several sheets if necessary)</p> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; transform: rotate(-45deg); display: flex; align-items: center; justify-content: center; font-weight: bold; font-size: 1.2em;"> OFFICE OF TRADEMARK DECISIONS AUG 19 2002 </div>	ATTY DOCKET NO. <div style="text-align: center;">5283</div>	SERIAL NO. <div style="text-align: center;">09/400,296</div>
	John Hendricks, et al.	
	FILING <div style="text-align: center;">September 21, 1999</div>	GROUP <div style="text-align: center;">2766</div>

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
						<div style="font-weight: bold; font-size: 1.5em;">RECEIVED</div> <div style="font-weight: bold; font-size: 1.2em;">AUG 20 2002</div> <div style="font-weight: bold; font-size: 1.1em;">Technology Center 2100</div>	
						<div style="font-weight: bold; font-size: 1.5em;">RECEIVED</div> <div style="font-weight: bold; font-size: 1.2em;">AUG 26 2002</div> <div style="font-weight: bold; font-size: 1.1em;">Technology Center 2600</div>	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	16.	WO 97/22099	6/19/1997	WIPO				
	17.	WO 97/22097	6/19/1997	WIPO				
	18.	WO 97/22064	6/19/1997	WIPO				
	19.	WO 97/22108	6/19/1997	WIPO				
	20.	WO 97/22101	6/19/1997	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
<div style="position: relative; height: 100%; border: 1px solid black;"> <div style="position: absolute; top: 0; right: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; display: flex; align-items: center; justify-content: center; font-weight: bold; font-size: 1.5em;"> RECEIVED AUG 21 2002 GROUP 2900 </div> </div>

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